

Correspondence Address: Plot no. 108, 5th Floor, IT Park, Udyog Vihar, Phase - I, Gurugram - 122016, Haryana.

Client Helpline: 022-61446300, Fax: 0124 6681111, E-mail: helpdesk@dhani.com , grievances_dsl@dhani.com

Website: www.dhanistocks.com ; Corporate Identity Number (CIN): U74999DL2003PLC122874,

Registered Office: 1/1E, First Floor, East Patel Nagar, New Delhi - 110008;

SARAL – ACCOUNT OPENING FORM FOR RESIDENT INDIVIDUALS TRADING IN CASH SEGMENT

I. KYC – Please fill this form in BLOCK LETTERS

A. IDENTITY DETAILS

1. Name of the Applicant: _____

2. Father's / Spouse Name: _____

3. a. Gender: Male Female **b. Marital Status:** Single Married

c. Date of Birth: _____ (DD/MM/YYYY)

4. Nationality: _____ **5a. PAN:** _____ **5b. Aadhaar Number, if any:** _____

6. Specify the proof of Identity submitted: _____

B. ADDRESS DETAILS

1. Residence/Correspondence Address: _____

City/Town/Village: _____ **Pin Code:** _____ **State:** _____ **Country:** _____

2. Contact Details: Tel (Off.) _____ **Tel (Res.)** _____ **FAX:** _____

Mobile No. _____ **Email ID:** _____

3. Permanent Address (if different from above address): _____

City/Town/Village: _____ **Pin Code:** _____ **State:** _____ **Country:** _____

4. Specify the proof of address submitted for Residence/Correspondence/Permanent address: _____

DECLARATION: I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.

Signature of the Applicant: _____

Date: _____ (DD/MM/YYYY)

Originals verified and Self-Attested Document copies received

(_____)

Date _____

Name & Signature of the Authorised Signatory

Seal/Stamp of Dhani Stocks Limited

II. OTHER DETAILS

1. Bank Account Details

Bank Name	Branch Address	Bank Account No.	Account Type	MICR Number	IFSC Code
			<input type="checkbox"/> Saving <input type="checkbox"/> Current		

2. Demat Account Details (incase the client does not have DP account, this column may be crossed)

DP Name	NSDL/CDSL	Beneficiary Name	DP ID	BO ID

3. Whether DP account is also to be opened with the same intermediary: Yes No

4. Trading Preferences: Please sign the relevant boxes where you wish to trade

Exchange	Sign	Exchange	Sign
NSE		BSE	

5. Mode of receiving Contract Note/ Statement of Account: Physical Electronic (Please indicate your preference)

6. Standing instructions to receive credits automatically into my BO account: Yes No

7. Nomination details (Name, PAN, Address and Phone no. of nominee); relationship with the nominee (If nominee is a minor, details of Guardian like name, address, phone no. and signature of Guardian may be obtained)

<input type="checkbox"/> I wish to nominate		<input type="checkbox"/> I do not wish to nominate																					
Name of the Nominee		Relationship with the Nominee																					
PAN of the Nominee	<table border="1"> <tr> <td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td> </tr> </table>																					Date of Birth of the Nominee	
Address of the Nominee																							
Phone No.																							
If Nominee is a Minor, details of Guardian																							
Name of the Guardian																							
Address of the Guardian																							
Phone No.																							
Guardian's Signature																							
Witnesses (Only applicable in case the account holder has made nomination)																							
Name:		Name:																					
Address:		Address:																					
Signature:		Signature:																					

I have understood the contents of policy and procedures document, tariff sheet, 'Rights and Obligations' document and 'Risk Disclosure Document'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for information on stock broker's designated website.

Signature of the Applicant: _____ **Date:** _____ (DD/MM/YYYY)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

DP Name	NSDL/CDSL	Beneficiary Name	DP ID	BO ID

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

I / We undertake that I/we have made the client aware of 'Policy and Procedures', tariff sheet. I/We have also made the client aware of 'Rights and Obligations' document(s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

If the client chooses to avail the demat facility from the same stock broker who is also a depository participant, the stock broker may use the same form and provide the details of the demat account opened for the said client to the client while providing a copy of the KYC documents.

Signature of the Authorised Signatory

Date: _____

Seal/Stamp of Dhani Stocks Limited

NOTE: This form is applicable for individual investors trading in the cash segment. If such investors wish to trade in segments other than cash segment and / or wish to avail facilities such as internet trading, running account, margin trading, Power of Attorney etc., they may furnish additional details required as per prescribed regulations to the concerned intermediary.