

# dhani Stocks KNOW YOUR CLIENT (KYC) UPDATION FORM (For Individuals)

**Dhani Stocks Limited** 

Corporate Identity Number (CIN): U74999DL2003PLC122874;

Correspondence Address Plot no. 108, 5th Floor, IT Park, Udyog Vihar, Phase - I, Gurugram - 122016, Haryana. Client Helpline: 022-61446300, Fax: 0124 6681111,

E-mail: <a href="mailto:helpdesk@dhani.com">helpdesk@dhani.com</a>, <a href="mailto:grievances">grievances</a> <a href="mailto:dslage-d

Registered Office: 1/1E, First Floor, East Patel Nagar, New Delhi - 110008. Tel.: 011-41052775, Fax: 011-42137986; Website:

www.dhanistocks.com; CIN: U74999DL2003PLC122874

Trading Code												
Demat ID (1)	I	N	3	0	2	2	3	6				
Demat ID (2)	I	N	3	0	2	2	3	6				
Demat ID (3)	I	N	3	0	2	2	3	6				

### Please fill the form in English and 'BLOCK LETTERS' only

#### ΡΔΡΤ- (Δ) IDENTITY DETAILS

FAILT- (A) IDENT	III DEIA	VI L																					
		Pr	efix				Fi	rst	: Na	me	9		M	liddle	e Na	me				Las	st Na	me	
Name of the Applica	ant																						
(as per PAN Website	e)																						
Name of the applica	int																						
(same as ID Proof)																							
Maiden Name * (if a	laiden Name * (if any)																						
Father's/Spouse Na	me *																						
(if any)																							
Mother's Name *																							
Date of Birth *		D	D	М	М	Υ	Υ	Υ	Υ	′	Gende	r: 🗆 ſ	<b>M -</b> Mal	le 🗆	F –	Fem	ale		T - '	Tra	nsge	ende	r
(DD/MM/YYYY)																							
Marital Status:	Citizen	ship	*						Re	sid	ential S	tial Status*:											
□ Unmarried	□ IN-Ir	ndiai	n							Res	sident I	ndivid	lual 🗆	Non	Resi	den	t In	dian		For	eign	Nati	ional
☐ Married	□ Oth	ers	(plea	ase s	spec	ify)			(Pa	ss	port co	py ma	ndator	y for	NRI	an	d Fo	oreig	n N	atio	onal	s)	
□ Others		_								Per	son of	Indiar	n Origin	1									
PAN	•											□ Fo	rm 60	Р	lease	e en	clos	se a	duly	ı at	test	ed co	ру
												furni	shed	О	f you	ır P	٩N	card					
# Proof of Identity s	ubmitte	d fo	r PA	N e	kem	pte	d ca	ses	s. P	lea	se tick	(√) –	Please	refer	inst	ruct	ion	В.					
(Certified copy of ar	<u>ny one</u> oj	the	foll	owi	ng P	roo	f of	Ide	enti	ity	(PoI) n	eeds t	o be su	ıbmit	ted)								
☐ Passport Number	r									Pas	sport l	Expiry	Date	D	D	N	/1	M	Υ		Υ	Υ	Υ
□ Voter ID Card																							
□ PAN Card																							
□ Driving Licence									Dri۱	ving	g Licen	ce Exp	iry Dat	e	D	D	M	N	1	Υ	Υ	Υ	Υ

	Sole / First Holder	Second Holder	Third Holder
Client's signature	<b>©</b> (2)	<b>%</b> (2)	<b>%</b> (2)

Please affix your recent passport size photograph and sign across it

□ National	l populati	on register letter														
□ Proof of	Possessio	on of Aadhaar ^	>	X	Х	Х	Х	Χ	Х	Х						
□ EKYC Au	thenticat	on ^	>	X	Х	Х	Х	Х	Х	Х						
□ Offline v	erificatio	n of Aadhaar ^	>	X	Х	Х	Х	X	Х	Х						
^ Please er	nter only	the last 4 digits o	f your UID	/ Aadh	aar		•	I	1	ı				ı		
□ NREGA	Job Card															
# Certified	copy of C	VD or equivalent	e-docume	nt of O	VD or O	/D obta	ined th	rough	n digita	l KYC	proc	ess n	eeds	to b	е	
submitted.						1										
Others (An	y docume	ent notified by Ce	entral Gove	rnmen	t)	Iden	tificatio	n nui	mber:							
PART (B).	ADDRESS	DETAILS														
Address Ty	rpe *	 ☐ Residential / Βι	ısiness 🗆	Reside	ntial 🗆	Rusine	icc $\Box$	Regist	tered (	Office		Insn	ecifi	-d		
Address fo		- Residential / Be		reside		Dusine	.55	i (CBI3)	tereu (	711100		J113P	CCIII			
Correspond																
'																
City/Town,	/Village			Sta	ate											
Country			PIN/ Post Code													
State / U.T. Code* ISO 3166 Country Code*																
Please tick	() again	be provided by the	attached.							g docı Validi			مدماء	- of	f	-t
☐ Passport			Driving Lic		□ Late		•	11#		vallui	-			-		Oi
□ Voter Id	entity Car	d ⊔ Latest Ga	as Bill #	Lates	□ Voter Identity Card □ Latest Gas Bill # □ Latest Telephone Bill (only											Υ
1 110 \							• •		D	D	1		Υ	Υ	Υ	T T
-	_	ered Lease/Sale A	_		dence 🗆		• •	) 🗆	D	D	M	M	Υ	Υ	Υ	ĭ
NREGA Job	Card 🗆	National populati	on register	letter			• •	) 🗆	D	D	1		Υ	Υ	Υ	ĭ
NREGA Job	Card   Possession		on register	letter entication	on	UID (A	adhaar		D	D	1		Y	Υ	Υ	Y
NREGA Job  ☐ Proof of  ☐ Others _	Card   Possession	National populati on of Aadhaar □	on register EKYC Authe	letter entication#		UID (A	adhaar		D	D	1		Υ	Y	Υ	ĭ
NREGA Job  ☐ Proof of  ☐ Others _	Card   Possession	National populati	on register EKYC Authe	letter entication#	on	UID (A	adhaar		D	D	1		Υ	Υ	Υ	T
NREGA Job  Proof of Others Deemed pi	Possessic roof of ad	National populati on of Aadhaar □	on register EKYC Autho Type Code	letter enticatio #	on not more	UID (A	adhaar		D	D	1		Y	Υ	Υ	
NREGA Job  □ Proof of  □ Others _  Deemed pr  □ Please ti  Address Ty	Possession  roof of add  ck (√) per	National population of Aadhaar   dress: Document	on register EKYC Author Type Code is the same	letter enticatio #	on not more   ve addre	UID (A	adhaar	old	D Regis		M	M	Uns	pecifi		
NREGA Job  Proof of Others Deemed pi	Possession  roof of add  ck (√) per	National population of Aadhaar  dress: Document	on register EKYC Author Type Code is the same	letter enticatio # as abo	on not more   ve addre	UID (A	adhaar months	old			M	M	Uns	pecifi		
NREGA Job  □ Proof of  □ Others _  Deemed pr  □ Please ti  Address Ty	Possession  roof of add  ck (√) per	National population of Aadhaar  dress: Document	on register EKYC Author Type Code is the same	letter enticatio # as abo	on not more   ve addre	UID (A	adhaar months	old			M	M	Uns	pecifi		
NREGA Job  □ Proof of  □ Others _  Deemed pr  □ Please ti  Address Ty	Possession  roof of ad  ck ( $$ ) per  rpe* $\Box$ t Address	National population of Aadhaar  dress: Document	on register EKYC Author Type Code is the same	letter enticatio # as abo	on not more ve addre	UID (A	adhaar months	old			M	M	V	pecifi		
NREGA Job  □ Proof of  □ Others _  Deemed pr  □ Please ti  Address Ty  Permanent	Possession  roof of ad  ck ( $$ ) per  rpe* $\Box$ t Address	National population of Aadhaar  dress: Document	on register EKYC Author Type Code is the same	letter entication # e as abo Residen	on not more ve addre	ethan 2	adhaar months	old			M	M	V	pecifi		
NREGA Job  □ Proof of  □ Others _  Deemed pr  □ Please ti  Address Ty  Permanent  City/Town,	Possession  Possession  roof of ad  ck (\( \style \)) per  rpe* \( \square \)  t Address  /Village	National population of Aadhaar  dress: Document	on register EKYC Author Type Code is the same	entication # as abo Residen Sta	on not more ve addre tial	e than 2 ess.  B ode	months	old			M	M	Uns	pecifi		
NREGA Job  Proof of Others Deemed pi Please ti Address Ty Permanent City/Town, Country	Possession  Possession  roof of ad  ck (\( \style \)) per  rpe* \( \square \)  t Address  /Village	National population of Aadhaar  dress: Document	on register EKYC Author Type Code is the same	entication # as abo Residen Sta	on not more ve addre tial te	e than 2 ess.  B ode	months	old			M	M	Uns	pecifi		
NREGA Job  Proof of Others Deemed pi Please ti Address Ty Permanent City/Town, Country	Possession  Possession  roof of add  ck (\( \style \)) per  rpe* \( \square \)  t Address  /Village  . Code*	National population of Aadhaar  dress: Document	on register EKYC Author Type Code is the same iness	entication # as abo Residen Sta	on not more ve addre tial  te J/Post C	e than 2 ess.  B ode	months usiness Code*	old			Office	e 🗆	Uns			

**©** (3)

**©** (3)

**(3)** 

signature

	•	rovided by the Applican	nt. Please submit AN	NY ONE	of the foll	lowing	docu	ımen	ts.				
Please tick (V) against the document attached.													
□ Passport □ Ration Card □ Driving License □ Latest Electricity Bill# Validity/Expiry date of proof of □ Voter Identity Card □ Latest Gas Bill # □ Latest Telephone Bill (only landline) # address submitted													
□ Voter Id	entity Card	□ Latest Gas Bill # □ L	_atest Telephone Bi	ll (only l	andline) #	#		addr	ess s	ubm	itted		
□ Register	ed Lease/Sale	Agreement of Residence	e 🗆 UID (Aadhaar)	□ NREG	A Job								
Card □ Na	ational popula	tion register letter 🗆 Pr	oof of Possession o	f Aadha	ar	D	D	M	M	Υ	Υ	Υ	Υ
	uthentication	□ Others											
	e than 2 mont												
	<del>-</del>	munications will be ser	nt on provided Mok	oile No.	/ Email ID	D)							
Telephone	e No. (Off.)												
Telephone	e No. (Res.)												
Fax													
Email ID													
Mobile Nu	ımber												
Г													
	ual income de	□ < 1 Lac □	1 - 5 Lac □ 5 - 10	O Lac	□ 10 - 2	25 Lac	□ (	>) Al	oove	25 L	ac		
	(income range per annum)												
( <b>OR)</b> Net v	(OR) Net worth As on date D D M M Y Y Y												
Net worth	should not be	older than 1 year	·						•				
Any other Information													
Occupation Type													
□ S – Serv	vice (□ Private	e Sector 🗆 Public Secto	or   Government S	ector)									
□ 0 – 0tł	ners ( Profes	sional   Self Employed	d □ Retired □ Ho	ousewife	e 🗆 Stude	ent )							
□ B – Bus	siness	☐ X – Not Categorised	☐ Agriculturalist	□ Oth	ners								
Please tick	c if applicable	☐ Politically Exposed	Person (PEP)	□ Re	elated to	a Politi	cally	Ехро	sed	Perso	on (P	EP)	
Any other	Information												
Details of	f FATCA-CRS	(Please consult your pro	ofessional tax profe	essional	on your t	tax res	iden	cy, if	requ	iired,	)		
Are you a	tax resident o	f any country other tha	ın India?	□ Yes	□ No	0				<u> </u>			
If yes, plea	ase indicate al	countries in which you	are a resident for	tax pur	poses and	d the a	ssoci	iated	Tax	purp	oses	and	the
associated	d Tax ID Numbe	ers below											
S. No	Country	of Tax Residency#	Tax Identification	n Numb	er (TIN) /	'		Iden	tifica	tion	Туре		
			Functional	Equivale	ent^		TIN	or ot	her;	pleas	se sp	ecify	]
1.													
2.													
3.													
# to also in	nclude USA, wl	here the individual is a (	Citizen/Green Card	Holder	of the USA	Ą							
^ Incase Tax Identification Number is not available, kindly provide its functional Equivalent													
	Sole / First Holder Second Holder Third Holder												

	Sole / First Holder	Second Holder	Third Holder
Client's signature	<b>F</b> (4)	<b>F</b> (4)	<b>(4)</b>

**DECLARATION:** I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I have understood the information requirements of this form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me on this form is true, correct and complete. I also confirm that I have read and understood FATCA & CRS Terms and Conditions below and hereby accept the same. I hereby consent to receiving information from Central KYC registry through SMS/Email on the above registered number / email address.

	Sole / First Holder	Second Holder	Third Holder
Client's signature	<b>(5)</b>	<b>©</b> (5)	<b>©</b> (5)
Date:			Place:

## IDENTITY VERIFIED "IN PERSON" - Dhani Stocks Limited (Formerly Indiabulls Securities Ltd.)

							•	•
IPV Done on	D	D	M	M	Υ	Υ	Υ	Υ
Place				•		II.		
Employee Name								
Designation								
Date	D	D	M	M	Υ	Υ	Υ	Υ
Employee		•	•	•	'	'	•	1
Employee Signature								

# FOR OFFICE USE ONLY

#### **Intermediary Name - Dhani Stocks Limited**

□ (Originals Verified) Tr	ue cop								
☐ (Self-attested) Self-ce	rtified								
Application Type:   Ne	w 🗆 l								
KYC Number (Mandator	y for K								
Account Type:									
□ Normal □ Aadhaar O	TP bas	ed E-K\	/C (in n	on-face	e to fac	e mod	e)		SEAL/STAMP of Dhani Stocks Limited
Employee Name									
Designation									
Date	D	D	M	M	Υ	Υ	Υ	Υ	
Employee Signature									