

Please affix your recent passport size photograph and sign across it

**Dhani Stocks Limited**

 (1)

Corporate Identity Number (CIN): U74999DL2003PLC122874;

**Correspondence Address** Plot no. 108, 5th Floor, IT Park, Udyog Vihar, Phase - I, Gurugram - 122016, Haryana. **Client Helpline:** 022-61446300, Fax: 0124 6681111, E-mail: [helpdesk@dhani.com](mailto:helpdesk@dhani.com), [grievances\\_dsl@dhani.com](mailto:grievances_dsl@dhani.com)




**Registered Office:** 1/1E, First Floor, East Patel Nagar, New Delhi - 110008. Tel.: 011-41052775, Fax: 011-42137986; **Website:** [www.dhanistocks.com](http://www.dhanistocks.com); **CIN:** U74999DL2003PLC122874

<b>Trading Code</b>																
<b>Demat ID (1)</b>	1	2	0	2	9	9	0	0								
<b>Demat ID (2)</b>	1	2	0	2	9	9	0	0								
<b>Demat ID (3)</b>	1	2	0	2	9	9	0	0								

Please fill the form in English and 'BLOCK LETTERS' only

**PART- (A) IDENTITY DETAILS**

	Prefix	First Name	Middle Name	Last Name											
<b>Name of the Applicant (as per PAN Website)</b>															
<b>Name of the applicant (same as ID Proof)</b>															
<b>Maiden Name * (if any)</b>															
<b>Father's/Spouse Name * (if any)</b>															
<b>Mother's Name *</b>															
<b>Date of Birth * (DD/MM/YYYY)</b>	D	D	M	M	Y	Y	Y	Y	<b>Gender:</b> <input type="checkbox"/> M - Male <input type="checkbox"/> F - Female <input type="checkbox"/> T - Transgender						
<b>Marital Status:</b> <input type="checkbox"/> Unmarried <input type="checkbox"/> Married <input type="checkbox"/> Others	<b>Citizenship *</b> <input type="checkbox"/> IN-Indian <input type="checkbox"/> Others (please specify) _____			<b>Residential Status*:</b> <input type="checkbox"/> Resident Individual <input type="checkbox"/> Non Resident Indian <input type="checkbox"/> Foreign National (Passport copy mandatory for NRIs and Foreign Nationals) <input type="checkbox"/> Person of Indian Origin											
<b>PAN</b>									<input type="checkbox"/> Form 60 furnished	Please enclose a duly attested copy of your PAN card					
<b># Proof of Identity submitted for PAN exempted cases. Please tick (✓) – Please refer instruction B. (Certified copy of any one of the following Proof of Identity (PoI) needs to be submitted).</b>															
<input type="checkbox"/> Passport Number							Passport Expiry Date	D	D	M	M	Y	Y	Y	Y
<input type="checkbox"/> Voter ID Card															
<input type="checkbox"/> PAN Card															
<input type="checkbox"/> Driving Licence							Driving Licence Expiry Date	D	D	M	M	Y	Y	Y	Y
<input type="checkbox"/> National population register letter															
<input type="checkbox"/> Proof of Possession of Aadhaar ^	X	X	X	X	X	X	X	X	X						
<input type="checkbox"/> EKYC Authentication ^	X	X	X	X	X	X	X	X	X						
<input type="checkbox"/> Offline verification of Aadhaar ^	X	X	X	X	X	X	X	X	X						
<b>^ Please enter only the last 4 digits of your UID / Aadhaar</b>															

	Sole / First Holder	Second Holder	Third Holder
<b>Client's signature</b>	 (2)	 (2)	 (2)

<input type="checkbox"/> NREGA Job Card	
# Certified copy of OVD or equivalent e-document of OVD or OVD obtained through digital KYC process needs to be submitted.	
<b>Others (Any document notified by Central Government)</b>	<b>Identification number:</b>

**PART (B). ADDRESS DETAILS**

Address Type *	<input type="checkbox"/> Residential / Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified												
Address for Correspondence													
City/Town/Village		State											
Country		PIN/ Post Code											
State / U.T. Code*		ISO 3166 Country Code*											
Proof of Address to be provided by the Applicant. Please submit <b>ANY ONE</b> of the following documents. Please tick (√) against the document attached.													
<input type="checkbox"/> Passport <input type="checkbox"/> Ration Card <input type="checkbox"/> Driving License <input type="checkbox"/> Latest Electricity Bill# <input type="checkbox"/> Voter Identity Card <input type="checkbox"/> Latest Gas Bill # <input type="checkbox"/> Latest Telephone Bill (only landline) # <input type="checkbox"/> Registered Lease/Sale Agreement of Residence <input type="checkbox"/> UID (Aadhaar) <input type="checkbox"/> <input type="checkbox"/> NREGA Job Card <input type="checkbox"/> National population register letter <input type="checkbox"/> Proof of Possession of Aadhaar <input type="checkbox"/> EKYC Authentication <input type="checkbox"/> Others _____ # not more than 2 months old						<b>Validity/Expiry date of proof of address submitted</b>							
						D	D	M	M	Y	Y	Y	Y
Deemed proof of address: Document Type Code													

Please tick (√) permanent address is the same as above address.

Address Type*	<input type="checkbox"/> Residential / Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified												
Permanent Address													
City/Town/Village		State											
Country		PIN/Post Code											
State / U.T. Code*		ISO 3166 Country Code*											
Proof of Address to be provided by the Applicant. Please submit ANY ONE of the following documents. Please tick (√) against the document attached.													
<input type="checkbox"/> Passport <input type="checkbox"/> Ration Card <input type="checkbox"/> Driving License <input type="checkbox"/> Latest Electricity Bill# <input type="checkbox"/> Voter Identity Card <input type="checkbox"/> Latest Gas Bill # <input type="checkbox"/> Latest Telephone Bill (only landline) # <input type="checkbox"/> Registered Lease/Sale Agreement of Residence <input type="checkbox"/> UID (Aadhaar) <input type="checkbox"/> NREGA Job Card <input type="checkbox"/> National population register letter <input type="checkbox"/> Proof of Possession of Aadhaar <input type="checkbox"/> EKYC Authentication <input type="checkbox"/> Others _____ # not more than 2 months old						<b>Validity/Expiry date of proof of address submitted</b>							
						D	D	M	M	Y	Y	Y	Y

	<b>Sole / First Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
<b>Client's signature</b>	☞ (3)	☞ (3)	☞ (3)

**Contact Details (all communications will be sent on provided Mobile No. / Email ID)**




Telephone No. (Off.)	
Telephone No. (Res.)	
Fax	
Email ID	
Mobile Number	

Gross annual income details (income range per annum)	<input type="checkbox"/> < 1 Lac <input type="checkbox"/> 1 - 5 Lac <input type="checkbox"/> 5 - 10 Lac <input type="checkbox"/> 10 - 25 Lac <input type="checkbox"/> (>) Above 25 Lac									
(OR) Net worth		As on date	D	D	M	M	Y	Y	Y	Y
<b>Net worth should not be older than 1 year</b>										
Any other Information										
<b>Occupation Type</b>										
<input type="checkbox"/> S – Service ( <input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Sector)										
<input type="checkbox"/> O – Others ( <input type="checkbox"/> Professional <input type="checkbox"/> Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student )										
<input type="checkbox"/> B – Business <input type="checkbox"/> X – Not Categorised <input type="checkbox"/> Agriculturalist <input type="checkbox"/> Others _____										
Please tick if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)									
Any other Information										

**Details of FATCA-CRS (Please consult your professional tax professional on your tax residency, if required)**

<b>Are you a tax resident of any country other than India?</b>		<input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, please indicate all countries in which you are a resident for tax purposes and the associated Tax purposes and the associated Tax ID Numbers below			
S. No	Country of Tax Residency#	Tax Identification Number (TIN) / Functional Equivalent^	Identification Type [TIN or other; please specify]
1.			
2.			
3.			
# to also include USA, where the individual is a Citizen/Green Card Holder of the USA			
^ In case Tax Identification Number is not available, kindly provide its functional Equivalent			


**DECLARATION:** I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I have understood the information requirements of this form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me on this form is true, correct and complete. I also confirm that I have read and understood FATCA & CRS Terms and Conditions below and hereby accept the same. I hereby consent to receiving information from Central KYC registry through SMS/Email on the above registered number / email address.

	Sole / First Holder	Second Holder	Third Holder
Client's signature	 (4)	 (4)	 (4)

Date: \_\_\_\_\_

Place: \_\_\_\_\_

**IDENTITY VERIFIED "IN PERSON" – Dhani Stocks Limited**

IPV Done on	D	D	M	M	Y	Y	Y	Y	<b>SEAL/STAMP of Dhani Stocks Limited</b>
Place									
Employee Name									
Designation									
Date	D	D	M	M	Y	Y	Y	Y	
Employee Signature 									

**FOR OFFICE USE ONLY****Intermediary Name - Dhani Stocks Limited**

<input type="checkbox"/> (Originals Verified) True copies of documents received <input type="checkbox"/> (Self-attested) Self-certified document copies received									<b>SEAL/STAMP of Dhani Stocks Limited</b>
Application Type: <input type="checkbox"/> New <input type="checkbox"/> Update									
KYC Number (Mandatory for KYC Update Request):									
Account Type:									
<input type="checkbox"/> Normal <input type="checkbox"/> Aadhaar OTP based E-KYC (in non-face to face mode)									
Employee Name									
Designation									
Date	D	D	M	M	Y	Y	Y	Y	
Employee Signature	